



**Ministry of National Development Planning/
National Development Planning Agency (P173446)**

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)
Project Preparation Grant (PPG) for
Global Environment Facilities (GEF)
Indonesia Sustainable Cities Impact Project
(SCIP)**

November 23, 2020

Version 1

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Indonesia (Recipient) through the Ministry of National Development Planning/National Development Planning Agency (Bappenas) will implement the Indonesia Sustainable Cities Impact Project (SCIP). The Global Environment Facility through the International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
2. The Recipient will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these. This ESCP refers to the initial project preparation activities for the SCIP, to be funded under a Project Preparation Grant (PPG).
3. The Recipient will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as the Stakeholder Engagement Plan (SEP), and the timelines specified in that.
4. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in point 1 above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the PPG activities.
6. As agreed by the Bank and the Recipient this ESCP may be revised from time to time during PPG activity implementation, to reflect adaptive management of changes to the PPG activities and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Recipient. The Recipient will promptly disclose the updated ESCP.
7. Where changes to PPG activities, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, gender-based violence or impacts on indigenous peoples.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	REGULAR REPORTING: Prepare and submit regular monitoring reports on the implementation of the ESCP.	Quarterly report and biannual report to be submitted throughout PPG implementation, and completion report to be submitted by end of PPG and beginning of the SCIP.	BAPPENAS
B	INCIDENTS AND ACCIDENTS: Promptly notify the Bank of any incident or accident related to implementation of the PPG which has, or is likely to have, a significant adverse effect on Project personnel, communities, the public, or indirect workers such as project personnel contracting the COVID-19 and/or project traffic and field survey related accidents. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by other parties, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Notify the Bank within 48 hours after learning of the incident or accident and submit an incident report within 2 weeks upon occurrence of the incident.	BAPPENAS
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE: Establish an organizational structure with qualified staff to support management of E&S risks including E&S focal points in BAPPENAS (at central level). A PIU will be established under BAPPENAS to coordinate the implementation of the activities funded by the PPG.	One (1) Environmental and one (1) Social Focal Points need to be appointed and in place upon approval of the PPG or no later than 30 days after PPG effectiveness, and maintained throughout implementation of the PPG. BAPPENAS to ensure assignment letter to explain the roles and responsibilities of the assigned personnel.	BAPPENAS
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT: The PPG will finance the E&S assessment of SCIP components to feed in SCIP’s Environmental and Social Management Framework (ESMF), including but not limited to implementation of baseline surveys in selected cities, in compliance to national regulations, the World Bank’s Environmental and Social Framework (ESF), and the WB Group EHS Guidelines, in a manner acceptable to the Bank.	The development of SCIP’s ESMF based on the E&S assessment to be completed prior to appraisal stage of the SCIP.	BAPPENAS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.3</p>	<p>MANAGEMENT TOOLS AND INSTRUMENTS: The PPG will finance the preparation of the ESMF and Project Design Document (PDD) for the SCIP, as well as inputs to SCIP Project Operational Manuals, as required, in a manner acceptable to the Bank.</p> <p>The SCIP’s ESMF will include:</p> <ul style="list-style-type: none"> • instruments to screen E&S risks and impacts by setting up negative list for SCIP’s activities; • templates for environmental and social design principles for all SCIP’s components as appropriate; • environmental and social code of practices for energy efficiency and pollution prevention and control measures; community health and safety measures, biodiversity conservation and sustainable management measures, and cultural heritage measures, measures for addressing Gender-based Violence (GBV) and Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH) risks; • elements & requirements to be incorporated into TORs for Feasibility Studies, SESAs/KLHS, ESAs, ESMPs, and other planning instruments; • Labor Management Procedures (LMP) that include worker’s code of conduct, OHS measures and workers’ GRM; • Land Acquisition and Resettlement Process Framework (LARPF) to guide preparation of future land acquisition and/or resettlement plans; • capacity building measures based on assessment of the assigned implementing agency regarding the preparation and implementation of ESMF. <p>The PPG will also finance the preparation of SCIP’s Stakeholder Engagement Plan (SEP), including Stakeholder Analysis and preparation for establishment of a functioning, responsive Grievance Redress Mechanism.</p> <p>The SCIP’s ESMF and SEP will be reviewed and approved by the Bank’s prior finalization, and to be disclosed by the PIU after.</p>	<p>The preparation of SCIP’s ESMF, SEP, and PDD will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.</p>	<p>BAPPENAS</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 2: LABOR AND WORKING CONDITIONS		
<p>2.1 LABOR MANAGEMENT PROCEDURES: The PPG will involve staffing and hiring of consultants for project preparation of SCIP's including among others the E&S safeguards instruments, fiduciary and procurement instruments, and Project Procurement Strategy for Development (PPSD). An LMP for the PPG's personnel will be prepared in compliance with national regulations and World Bank's ESS2, in a manner acceptable to the Bank.</p> <p>The PPG will also ensure that preparation of SCIP's LMP in a manner acceptable to the Bank.</p>	<p>The LMP for PPG to be completed no later than 30 days after PPG effectiveness, and to be implemented throughout PPG implementation.</p>	<p>BAPPENAS</p>
<p>2.2 GRIEVANCE MECHANISM FOR PROJECT WORKERS: BAPPENAS shall ensure the availability, accessibility and functioning of grievance/feedback arrangements for Project workers.</p>	<p>The workers' grievance mechanism for PPG personnel (will be included in the PPG's LMP) to be established no later than 30 days after PPG effectiveness, and to be maintained throughout implementation of the PPG.</p>	<p>BAPPENAS</p>
<p>2.3 OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES: The OHS measures for PPG's personnel will be included as part of PPG's LMP, including but not limited to implementation of field activities for the purpose of E&S assessment and baseline surveys.</p> <p>The PPG will also ensure that preparation of SCIP's LMP to include OHS measures in a manner acceptable to the Bank.</p>	<p>The LMP for PPG to be completed no later than 30 days upon PPG effectiveness, and to be implemented throughout PPG implementation.</p> <p>The LMP for SCIP to be developed as part of the ESMF, and to be completed and disclosed prior Appraisal stage of the SCIP.</p>	<p>BAPPENAS</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT		
<p>3.1 RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: The PPG activities are not expected to have material risks and impacts on resources nor create pollution. However, the PPG will finance the preparation of ESMF for the SCIP, that will include environmental code of practices for energy efficiency and pollution prevention and control measures, in a manner acceptable to the Bank.</p>	<p>The preparation of SCIP's ESMF will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.</p>	<p>BAPPENAS</p>
ESS 4: COMMUNITY HEALTH AND SAFETY.		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.1	COMMUNITY HEALTH AND SAFETY: The PPG activities are not expected to have material risks and impacts on community health and safety. However, the PPG will finance the preparation of ESMF for the SCIP, that will include environmental code of practices for community health and safety measures, in a manner acceptable to the Bank.	The preparation of SCIP's ESMF will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.	BAPPENAS
4.2	GBV AND SEA RISKS: The PPG activities are not expected to have material risks on GBV and SEA. However, the PPG will finance the preparation of ESMF for the SCIP, that will include measures for addressing GBV and SEA/SH risks part of the social code of practices, in a manner acceptable to the Bank.	The preparation of SCIP's ESMF will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.	BAPPENAS
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT.			
5.1	RESETTLEMENT PLANS: The PPG activities will not involve land acquisition activities nor restriction of land use. However, the PPG will finance the preparation of ESMF for the SCIP, that will include Land Acquisition and Resettlement Process Framework (LARPF) to guide preparation of future land acquisition and/or resettlement plans, in a manner acceptable to the Bank.	The preparation of SCIP's ESMF will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.	BAPPENAS
5.2	GRIEVANCE MECHANISM: the PPG will finance the preparation of SEP for the SCIP, that will include preparation for establishment of a functioning, responsive Grievance Redress Mechanism, in a manner acceptable to the Bank.	The preparation of SCIP's SEP will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.	BAPPENAS
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES.			
6.1	BIODIVERSITY RISKS AND IMPACTS: The PPG activities are not expected to have material risks and impacts on biodiversity. However, the PPG will finance the preparation of ESMF for the SCIP, that will include environmental code of practices for biodiversity conservation and sustainable management measures, in a manner acceptable to the Bank.	The preparation of SCIP's ESMF will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.	BAPPENAS
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES.			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
7.1	<p>INDIGENOUS PEOPLES PLAN: The PPG activities are not expected to have material risks and impacts on indigenous peoples. However, the PPG will finance implementation of E&S assessment of SCIP components to feed in SCIP’s Environmental and Social Management Framework (ESMF). This will include assessment to confirm the non-existence of indigenous peoples at SCIP’s locations and/or preparation of Indigenous Peoples Plan in the case where there is evidence of indigenous peoples in the locations as part of the ESMF, in a manner acceptable to the Bank.</p>	<p>The E&S assessment will be implemented during PPG’s implementation period and SCIP’s ESMF to be completed and disclosed prior Appraisal stage of the SCIP.</p>	BAPPENAS
ESS 8: CULTURAL HERITAGE.			
8.1	<p>CHANCE FINDS: The PPG activities are not expected to have material risks and impacts on cultural heritage. However, the PPG will finance the preparation of ESMF for the SCIP, that will include social code of practices for cultural heritage measures and chance finds, in a manner acceptable to the Bank.</p>	<p>The preparation of SCIP’s ESMF will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.</p>	BAPPENAS
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION: A SEP for the PPG has been included in the PPG package</p> <p>Additionally, the PPG will finance the preparation of the SEP for the SCIP, in a manner acceptable to the Bank.</p>	<p>The SEP for the PPG will be disclosed by BAPPENAS in their websites (in Bahasa and English languages) once the PPG package is cleared by the WB</p> <p>The PPG SEP will be implemented throughout implementation of the PPG.</p> <p>The preparation of SCIP’s SEP will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.</p>	BAPPENAS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.2	<p>PROJECT GRIEVANCE MECHANISM: The SEP for the PPG (included in the PPG package) will provide information on GRM for PPG activities and focal points to raise any concerns.</p> <p>The PPG will finance the preparation of SEP for the SCIP, that will include preparation for establishment of a functioning, responsive Grievance Redress Mechanism, in a manner acceptable to the Bank.</p>	<p>The SEP for the PPG (including relevant information for GRM) will be disclosed by BAPPENAS in their websites (in Bahasa and English languages) once the PPG package is cleared by the WB.</p> <p>The preparation of SCIP’s SEP will start upon PPG effectiveness, and to be completed and disclosed prior Appraisal stage of the SCIP.</p>	BAPPENAS
CAPACITY SUPPORT (TRAINING)			
CS.1	<p>The PPG will finance training on introduction to the World Bank’s ESF and the SCIP’s ESMF for government agencies involved in the SCIP implementation. The training will be coordinated by the PIU and will be carried out by consultant(s) assigned to assist PIU in preparing the ESMF.</p> <p>Additional training may be carried out based on findings of the assessment of the assigned implementing agency regarding the preparation and implementation of ESMF.</p>	Throughout implementation of the PPG.	BAPPENAS and World Bank